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**UTILITY** 

Approved for use through 09/30/2000. OMB 0651-003 Patent and Trademark Office: U S. DEPARTMENT OF COMMER

**PATENT APPLICATION TRANSMITTAL** 

| Attorney Docket No. | PC10873B SHJ |
|---------------------|--------------|
|                     |              |

First Named Inventor or Application Identifier L. Buckbinder

ADAMTS POLYPEPTIDES, NUCLEIC ACIDS ENCODING THEMP Title

|   |   |  |  |                                 |          | 7.0.42    | OOLO III      | LIVEO                         |                                 |   |   |        |
|---|---|--|--|---------------------------------|----------|-----------|---------------|-------------------------------|---------------------------------|---|---|--------|
| Only for new nonprovisional applications under 37C F R §1 53(b))  |   |  | )) Expre   | ss Ma                           | ail Labe | l No.     | EL            | 446402267U                    | 3                               | , L   |   |        |
| APPLICATION ELEMENTS  |   |  |  |                                 |          |           | 4000000       | . 70.                         |                                 | sioner for Pat                                      |   |        |
| See MPEP chapter 600 concerning utility patent application contents.  |   |  |  | ĺ                               |          | ADDRESS   | s 10:         |                               | ent Applicatio<br>gton, DC 2023 |   |   |        |
|   | $\boxtimes$   |  | ttal Form (e.g., <i>PTO/SE</i>                     |                                 | انسب     | 6.        | Micr          | ofiche Co                     |                                 | gram <i>(Appen</i>                                  |   |        |
|   | S (s  |  | and a duplicate for fee proce                      |                                 | 1        | 7.        |               |                               |                                 | equence Sub   | -   |        |
|   |   | Specification<br>(preferred arrai              | Total Page]<br>ngement set forth belov             | 1 1                             | J        | •         | (if applicab  | ole, all ned                  | cessary)                        | equence out   | A111551011  |        |
|   | •   | - Descriptive                                  | title of the Invention                             | ,                               |          |           | а. 🔀          | Comp                          | uter Readal                     | ale Conv  |   |        |
|   |   |  | rences to Related App                              |                                 |          |           |               | ā i                           |                                 |   |   |        |
|   |   |  | Regarding Fed sponso<br>in Microfiche Appendix     |                                 |          |           | b.            | 7                             |                                 | tical to comp                                       |   |        |
|   |   |  | d of the Invention                                 |                                 | Г        |           | C. 🔼          |                               |                                 |   | above copies  |        |
|   |   | - Brief Summ                                   | nary of the Invention                              |                                 | ŀ        |           |               |                               |                                 | LICATION  |   |        |
|   |   | <ul> <li>Brief Descri</li> </ul>               | iption of the Drawings                             | (if filed)                      | ļ        | 8.        | Assi          | ignment P                     | apers (cove                     | r sheet & doo                                       | cument(s))  |        |
|   |   | <ul><li>Detailed De</li><li>Claim(s)</li></ul> | escription   |                                 | 1        | 9.        |               | _                             | (b) Stateme                     |   | Power of Attorr                                     | ney    |
|   |   | - Abstract of                                  | the Disclosure                                     |                                 |          | 40        |               |                               | s an assigne                    | •   |   |        |
| _   | -   |  |  |                                 | ı        | 10.       | Engl          | lish Trans                    | lation Docur                    | ment ( <i>if appli</i> d                            | cable)  |        |
| 3.  |   | Orawing(s) (35                                 | U.S.C. 11.3)[Total she                             | ets 13                          | ]        | 11.       |               | mation Di<br>ement (ID        | isclosure<br>S)/PTO-144         |   | Copies of IDS<br>Citations                          |        |
| 4.  | $\boxtimes$   | Oath or Declara                                | ation [Total pag                                   | jes 3                           | 3        | 12.       | Nreli         | iminary Aı                    | mendment                        |   |   |        |
|   |   | a. Newly                                       | executed (original or c                            | ору)                            |          | 13.       |               |                               | ot Postcard (                   |   |   |        |
| b. Copy from a prior application (37 CFR  |   |  |  | (37 CFR                         |          |           |               |                               | ecifically ite                  | mized)  |   |        |
|   |   | §1.63(c  | d))<br>tinuation/divisional with Bo                | 47 ***/-4(\                     |          | 14.       |               | all Entity                    |                                 |   | in prior applica                                    | ation, |
|   |   | (IOI COIII                                     | [Note Box 5 be                                     |                                 |          |           |               | ement(s)<br>D/S <i>B/09-1</i> | Sta<br>(2)                      | tus still prope                                     | er and desired                                      |        |
|   |   | i.   | DELETION OF INV                                    | /ENTOR(S)                       |          | 15.       |               |                               | ,                               | Document(s)   |   |        |
|   |   |  | statement attached de                              | eleting                         |          |           |               |                               | rity is claime                  |   |   |        |
|   |   | see 37   | or(s) named in the prior<br>C.F.R. §§1.63(d)(2) as | application,<br>nd 1.33(b).     | - 1      |           |               |                               |                                 |   |   |        |
|   |   |  |  |                                 | ed)      | 16.       | Othe          | ar.                           |                                 |   |   |        |
| <ul> <li>Incorporation By Reference (useable if Box 4b is checked)</li> <li>The entire disclosure of the prior application, from which a</li> </ul> |   |  | -u)  | 10.                             |          | 71.       |               |                               |                                 |   |   |        |
| copy of the oath or declaration is supplied under Box 4b, considered to be part of the disclosure of the accompan                                   |   |  | der Box 4b. is                                     |                                 |          |           |               |                               |                                 |   |   |        |
|   | applicat  | tion and is here                               | of the disclosure of the<br>by incorporated by ref | e accompanyin<br>erence therein | g        |           |               |                               |                                 |   |   |        |
|   |   |  | ing incorporated by for                            | oronoc therein.                 |          |           |               |                               |                                 |   |   |        |
|   |   |  |  |                                 |          | I FEES. A | SMALL ENT     | ITY STATEN                    | TENT IS REQUI                   | TITLED TO PAY<br>RED (37 C.F.R. §<br>IED UPON (37 C | SMALL ENTITY<br>\$ 1.27), EXCEPT<br>C.F.R. § 1.28). |        |
| 7.  | lf a C  | ONTINUING A                                    | PPLICATION, check ap                               | propriate box, ai               | nd sup   | ply the i | equisite info | rmation be                    | low and in a p                  | reliminary ame                                      | endment   |        |
|   |   | Continuation                                   | Divisional   | Continua                        | ation-i  | in-part   | (CIP)         | of pri                        | or applicatio                   | n No:   | <u> </u>  |        |
|   | Prior application information: Examiner Group/Art Unit:   |  |  |                                 |          |           |               |                               |                                 |   |   |        |
| 18. CORRESPONDENCE ADDRESS  |   |  |  |                                 |          |           |               |                               |                                 |   |   |        |
|   | Customer Number or Bar Code Label  (Insert Customer No. or Attach bar code label here)  or Correspondence address below |  |  |                                 |          |           |               |                               |                                 |   |   |        |
|   | ame Paul H. Ginsburg  |  |  |                                 |          |           |               |                               |                                 |   |   |        |
|   | Idress Pfizer Inc   |  |  |                                 |          |           |               |                               |                                 |   |   |        |
|   | ess   |  | d Street, 20th Floor                               | T                               |          | -         |               |                               |                                 |   | ···   |        |
|   |   | State  |  |                                 | v York   |           | Zip Code      | 10017-57                      | <sup>7</sup> 55                 |   |   |        |
| our   | ntry  | United States                                  |  | Telephone                       |          |           | 2)573-2369    |                               | Fax                             | (212)573  | -1939   |        |
|   | NAME (Print/type) SETH H-JACOBS Registration No. (Attorney/Agent) 32,140  |  |  |                                 |          |           | 1             |                               |                                 |   |   |        |
|   | Signature ANA Hambs   |  |  |                                 | Date     |           |               |                               | 4-21                            | 2-01  | 1   |        |

PTO/SB/17(2/98) Approved for use through 09/30/2000. U.S. DEPARTMENT OF COMMERCE

|   | <del></del> |   | OME                 |             | Patent and Trademark Office U.S. DEPARTI  | MENT OF COMMER |  |  |  |
|---|-------------|---|---------------------|-------------|---|----------------|--|--|--|
|   | 2           | Complete if Known                             |                     |             |   |                |  |  |  |
| FEE TRANSMITTAL   |             | ation <b>N</b> un                             | nber                |             | Not yet assigned  |                |  |  |  |
|   | Filing [    | Filing Date                                   |                     |             | Concurrent herewith   |                |  |  |  |
| Patent fees are subject to annual revision on October 1.<br>These are the fees effective October 1, 1997  | First N     | First Named Inventor                          |                     |             | L. Buckbinder   |                |  |  |  |
| Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12  | Examir      | Examiner Name Not yet assigned                |                     |             |   |                |  |  |  |
| See 37 C.F.R. §§ 1.27 and 1.28.   |             | Group/Art Unit Not yet assigned               |                     |             |   |                |  |  |  |
| Total Amount of Payment (\$) 710.00   | Attorne     | ey Docke                                      | Docket No. PC10873B |             |   |                |  |  |  |
| METHOD OF PAYMENT (check one)   |             | FEE CALCULATION (continued)                   |                     |             |   |                |  |  |  |
| The commissioner is hereby authorized to charge  Indicated fees and credit any ever negroup to:   |             | 3. ADDITIONAL FEES  Large Entity Small Entity |                     |             |   |                |  |  |  |
| Indicated fees and credit any over payments to:  Deposit  | Fee         | Fee _   |                     |             |   |                |  |  |  |
| Account Number Pfizer Inc   | Code        | (\$)  | Fee<br>Code         | Fee<br>(\$) | Fee Description   | Fee Paid       |  |  |  |
| Deposit<br>Account<br>Name  | 105         | 130   | 205                 | 65          | Surcharge late fee or oath  |                |  |  |  |
|   | 127         | 50  | 227                 | 25          | Surcharge–late provisional filing fee or cover sheet  |                |  |  |  |
| Fee Required Under 37 C.F.R. § 1 18 at the Mailing of the Notice of Allowance   | 139         | 130   | 139                 | 130         | Non-English specification   |                |  |  |  |
| or on the 33 through the state of the state | 147         | 2,520   | 147                 | 2,520       | For filing a request for reexamination  |                |  |  |  |
| 2. Payment Enclosed:  | 112         | 920*  | 112                 | 920*        | Requesting publication of SIR prior to  |                |  |  |  |
| ☐ Check ☐ Money Order ☐ Other   | 113         | 1,840*  | 113                 | 1,840*      | Examiner action Requesting publication of SIR after Examiner action                                     |                |  |  |  |
| FEE CALCULATION   | 115         | 110   | 215                 | 55          | Extension for reply within first month  |                |  |  |  |
| 1. BASIC FILING FEE   | 116         | 390   | 216                 | 195         | Extension for reply within second month   |                |  |  |  |
| Large Entity Small Entity   | 117         | 890   | 217                 | 445         | Extension for reply within third month  |                |  |  |  |
| Fee Fee Fee Fee Description Fee Paid Coste (\$) Code (\$)   | 118         | 1,390   | 218                 | 695         | Extension for reply within fourth month   |                |  |  |  |
| 10 201 355 Utility filing fee 710   | 128         | 1,890   | 228                 | 945         | Extension for reply within fifth month  |                |  |  |  |
| 10 320 206 160 Design filing fee  | 119         | 310   | 219                 | 155         | Notice of Appeal  |                |  |  |  |
| 107 490 207 245 Plant filing fee  | 120         | 310   | 220                 | 155         | Filing a brief in support of an appeal  |                |  |  |  |
| 198 710 208 355 Reissue filing fee  | 121         | 270   | 221                 | 135         | Request for oral hearing  |                |  |  |  |
|   | 138         | 1,510   | 138                 | 1,510       | Petition to institute a public use proceeding   |                |  |  |  |
| SUBTOTAL (1) (\$) 710.00  | 140         | 110   | 240                 | 55          | Petition to revive - unavoidable  |                |  |  |  |
| 2. EXTRA CLAIM FEES   | 141         | 1,240   | 241                 | 620         | Petition to revive - unintentional  |                |  |  |  |
| Extra Fee from<br>Claims below Fee Paid   | 142         | 1,240   | 242                 | 620         | Utility issue fee (or reissue)  |                |  |  |  |
| Total Claims 18 -20**= 0 X = 0  | 143         | 440   | 243                 | 220         | Design issue fee  |                |  |  |  |
| Independent 1 - 3**= 0 X = =  | 144         | 600   | 244                 | 300         | Plant issue fee   |                |  |  |  |
| Multiple Dependent = 0  | 122         | 130   | 122                 | 130         | Petitions to the Commissioner   |                |  |  |  |
| ** or number previously pard, if greater; For Reissues, see below  Large Entity Small Entity  | 123         | 50  | 123                 | 50          | Petitions related to provisional applications   |                |  |  |  |
| Fee Fee Fee Fee Description Code (\$) Code (\$)   | 126         | 240   | 126                 | 240         | Submission of Information Disclosure Statement  |                |  |  |  |
| 103 18 203 9 Claims in excess of 20   | 581         | 40  | 581                 | 40          | Recording each patent assignment per  |                |  |  |  |
| 102 80 40 Independent claims in excess of 3   | 146         | 710   | 246                 | 355         | property (times number of properties)<br>Filing a submission after final rejection<br>(37 CFR 1.129(a)) |                |  |  |  |
| 104 270 204 135 Multiple dependent claim, if not paid   | 149         | 710   | 249                 | 355         | For each additional invention to be examined (37 CFR 1 129(b))  |                |  |  |  |
| 109 80 209 40 **Reissue independent claims over   | Other Fe    | ee (specify                                   | )                   |             | examined (37 CFR 1 129(0))  |                |  |  |  |
| original patent  110 18 210 9 **Reissue claims in excess of 20 and over original patent   | Other Fe    | ee (specify                                   | )                   |             |   |                |  |  |  |
| SUBTOTAL (2) (\$) 0   | *Reduce     | ed by Basic                                   | : Filing Fe         | ee Paid     | SUBTOTAL (3) (\$)   |                |  |  |  |

Date

4-26-01

Complete (if Applicable)

32,140

Reg. Number
Deposit Account
User ID

SETH H. JACOBS

SUBMITTED BY

Type or Printed Name Signature

## CERTIFICATE OF MAILING EXPRESS MAIL

PFIZER DOCKET NO: PC10873B

APPLICATION NUMBER: NOT YET ASSIGNED

TITLE: ADAMTS POLYPEPTIDES, NUCLEIC ACIDS ENCODING THEM, AND

USES THEREOF

APPLICANT: Leonard Buckbinder, et al.

"Express Mail" mailing label number EL446402267US

Date of Deposit: April 26, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Michelle Dungee

(Signature of person mailing paper or fee)

Pfizer, Inc Patent Department, 20th Floor 235 East 42nd Street New York, NY 10017-5755 (212) 733-3189